#### g-RIPS 2023 Fujitsu Group

## Design and Development of Explainable AI with Wide Learning

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# Outline

- Motivation
  - What is Explainable AI?
  - Explainability Approaches
- Wide Learning
- Our Approach
  - User selection LASSO
  - Model selection
    - Rademacher complexity
      - result
  - Interface Specification and Development
    - result
  - Pilot Survey for New Interface
     result
- Conclusion

### What is Explainable AI?

#### **Modern Model**

- Many modern AI predictions are based on black-box models/systems and lack explanatory power and conviction.
- This lack has a significant impact on industries such as finance and medicine, which are driven by trust.



#### **Explanation Approaches**



Miller, Tim. "Explainable ai is dead, long live explainable ai! hypothesis-driven decision support." arXiv preprint arXiv:2302.12389 (2023).

### "Hello, Wide Learning!" by Fujitsu Limited

- Wide Learning is a form of the hypothesis-driven approach
- We aim to enhance it and develop a more hypothesis-driven Explainable AI (XAI) tool

C	Combination of important items			
0	viparous_No			
Sized about the same as a cat?_Yes				
Breathes using lungs_Yes ∧ Fins_Has				
W	/ings_Does not have ∧ Eats meat_No ∧ Spine_Has			
Te	eeth_Has $\land$ Breathes using lungs_Yes			
FI	lies_Does not ∧ Aquatic_No			

## How Can We Improve Wide Learning?

### **Pilot Survey**

- Open-ended questions to elicit people's thoughts on the existing Wide Learning tool
- Anonymized and expected to take approximately 15 minutes to complete
- Distributed through the **#students-grips2023** Slack channel and received 10 responses
- Survey responses informed how we built the new user interface

### Existing Interface of "Hello, Wide Learning!"



- 1. Upload training data
- 2. Get important feature combinations generated by Wide Learning
- 3. Assign weights to the combinations

#### Testing

- 4. Upload testing data
- 5. Make predictions for testing data



- Wide Learning efficiently identifies important feature combinations at Step 2
- Weights are assigned to these important combinations in Step 3 and are used to make predictions in Step 5
- We focus on making improvements to Steps 3-5, by addressing areas of confusion from the survey responses and incorporating hypothesis-driven learning

## Improvement 1: Feature Selection in LASSO

### **Logistic Lasso**

- Logistic regression with L1 regularizer to assign weights to combinations from Step 2
- Lasso performs feature selection → some combinations are assigned weight 0
- Optimal weights are selected by solving the following problem:

 $\beta^* = \underset{\beta}{\arg\min} \mathcal{L}(\beta) := f(\hat{y}, y) + \rho \|\beta\|_1$  $y_i \in \{0, 1\}, \text{ class label}$  $\hat{y}_i \in (0, 1), \text{ prediction based on } \beta$ 

### **User Input**

• In Wide Learning and the other models considered, important feature combinations are selected by the model

• We include user selection of important combinations in our Lasso model to increase trustworthiness based on this paper:

<u>Satoshi Hara and Takanori Maehara. Finding alternate features in lasso. arXiv preprint</u> <u>arXiv:1611.05940, 2016.</u>

### **User Input**

- Lasso selects important feature combinations by assigning them a nonzero weight
- Feature combinations not included in the model are assigned weight zero
- User can select a feature combination with a zero weight to include in the model
- The optimal nonzero weight for this combination is found
- A feature combination included in the original model is assigned a zero weight to keep the same number of nonzero weights

#### **Finding Alternate Weights**

Given the Lasso optimal solution  $\beta^*$ , we seek for whether there are any alternate feature  $x_j$  with  $\beta_j^* = 0$  that can be replaced with a feature  $x_i$  selected by the Lasso (i.e.,  $\beta_i^* \neq 0$ ). We solve this problem by optimizing  $\beta_j$  in (1) while fixing as  $\beta_i = 0$  and  $\beta_k = \beta_k^*$  ( $k \neq i, j$ ). The optimization problem can be expressed as

$$\beta_j^{(i)} = \operatorname*{argmin}_{\beta_j} f(z^{(i)} + X_j \beta_j, y) + \rho |\beta_j|, \tag{2}$$

where  $X_j$  denotes the *j*-th column of X and  $z^{(i)} = \sum_{k \neq i} X_k \beta_k^*$ . If  $\beta_j^{(i)} \neq 0$ , the feature  $x_j$  can be an alternative of  $x_i$ . We note that the problem (2) is a univariate optimization problem, and can be solved easily, e.g., by using the proximal gradient method [5].

- Red betas are included in the model (nonzero), blue betas are not included in the model (zero)
- This example shows replacing feature combinations 2 and 3 with feature combination 1

$$\beta^{*} = \begin{bmatrix} \beta_{1}^{*} = 0\\ \beta_{2}^{*} \neq 0\\ \beta_{3}^{*} \neq 0\\ \beta_{4}^{*} = 0 \end{bmatrix} \qquad \beta^{(2 \to 1)} = \begin{bmatrix} \beta_{1}^{(2 \to 1)} = \beta_{1}^{(2)}\\ \beta_{2}^{(2 \to 1)} = 0\\ \beta_{3}^{(2 \to 1)} = \beta_{3}^{*}\\ \beta_{4}^{(2 \to 1)} = \beta_{4}^{*} \end{bmatrix} \qquad \beta^{(3 \to 1)} = \begin{bmatrix} \beta_{1}^{(3 \to 1)} = \beta_{1}^{(3)}\\ \beta_{2}^{(3 \to 1)} = \beta_{2}^{*}\\ \beta_{3}^{(3 \to 1)} = 0\\ \beta_{4}^{(3 \to 1)} = 0\\ \beta_{4}^{(3 \to 1)} = \beta_{4}^{*} \end{bmatrix}$$
$$\mathcal{L}(\beta^{(k)}) \qquad \mathcal{L}(\beta^{(k)})$$

- Example with four important feature combinations
- Optimal betas from Lasso

$$\boldsymbol{\beta^*} = \begin{bmatrix} \beta_1^* = 0\\ \beta_2^* \neq 0\\ \beta_3^* \neq 0\\ \beta_4^* = 0 \end{bmatrix}$$

•  $\beta_2$  and  $\beta_3$  have nonzero weights, so they are included in the model

$$\boldsymbol{\beta^{*}} = \begin{bmatrix} \beta_{1}^{*} = 0 \\ \beta_{2}^{*} \neq 0 \\ \beta_{3}^{*} \neq 0 \\ \beta_{4}^{*} = 0 \end{bmatrix}$$

•  $\beta_1$  and  $\beta_4$  have weights of 0, so they are not included in the model

$$B^* = \begin{bmatrix} \beta_1^* = 0 \\ \beta_2^* \neq 0 \\ \beta_3^* \neq 0 \\ \beta_4^* = 0 \end{bmatrix}$$

- Say the user wants to make sure  $\beta_1$  is included in the model
- Need to find a new optimal nonzero  $\beta_1$

$$\boldsymbol{\beta^*} = \begin{bmatrix} \beta_1^* = 0 \\ \beta_2^* \neq 0 \\ \beta_3^* \neq 0 \\ \beta_4^* = 0 \end{bmatrix}$$

### Option 1 - Replace $\beta_1$ with $\beta_2$

• Find new optimal value of  $\beta_1$ 

$$\beta^{(2\to1)} = \begin{bmatrix} \beta_1^{(2)} \\ 0 \\ \beta_3^* \\ \beta_4^* \end{bmatrix}$$

## Option 1 - Replace $\beta_1$ with $\beta_2$

- Find new optimal value of  $\beta_1$
- Set  $\beta_2$  to 0

$$\boldsymbol{\beta^{(2\to1)}} = \begin{bmatrix} \beta_1^{(2)} \\ 0 \\ \beta_3^* \\ \beta_4^* \end{bmatrix}$$

## Option 1 - Replace $\beta_1$ with $\beta_2$

- Find new optimal value of  $\beta_1$
- Set  $\beta_2$  to 0
- Keep  $\beta_3$  and  $\beta_4$  the same

$$\boldsymbol{\beta^{(2\to1)}} = \begin{bmatrix} \beta_1^{(2)} \\ 0 \\ \beta_3^* \\ \beta_4^* \end{bmatrix}$$

## Option 2 - Replace $\beta_1$ with $\beta_3$

- Find new optimal value of  $\beta_1$
- Set  $\beta_3$  to 0
- Keep  $\beta_2$  and  $\beta_4$  the same

$$\beta^{(3\to1)} = \begin{bmatrix} \beta_1^{(3)} \\ \beta_2^* \\ 0 \\ \beta_4^* \end{bmatrix}$$

#### **Choosing the Best New Solution**

• Compare the objective values of the new solutions to the original  $\beta^{\ast}$ 

 $eta^* = \operatorname*{arg\,min}_{eta} \mathcal{L}(eta) := f(\hat{y}, y) + 
ho \|eta\|_1$  $y_i \in \{0, 1\}, \text{ class label}$  $\hat{y_i} \in (0, 1), \text{ prediction based on } eta$ 

$$\mathcal{L}(\beta^*)$$
  $\mathcal{L}(\beta^{(2 \to 1)})$   $\mathcal{L}(\beta^{(3 \to 1)})$ 

## Improvement 2: More Result Options

### **Additional Models to Select**

We can improve the convincingness of our model results by providing other models to compare, such as:

- Logistic regression
- Perceptron
- Decision Trees
- Random Forest
- Support Vector Machines (SVM)
- Gaussian Naive Bayes

### **Reasons for selecting six models**

Hello Wide Learning targets binary classification problems.

• Six models are well-known methods in the field of classification.

• Six models can be executed using sklearn.

#### **Logistic Regression**

- A statistical model primarily used for binary classification
- it calculates using a linear prediction model
- The analysis is performed by passing the values through a sigmoid function

 $y = \sum_{i=1}^{n} w_i x_i + b \ (i = 1, 2, ..., n) : \text{ linear prediction model}$  $\sigma(y) = \frac{1}{1 + \exp(-u)} : \text{ sigmoid function}$ 

#### Perceptron

- A simple linear classification algorithm
- calculates the weighted sum of input data features and classifies them into two categories



#### **Decision Tree**

- An analysis that creates a tree diagram from data
- Classifies data based on "yes" or "no" information



#### **Random Forest**

- Combines the outputs of multiple decision trees to produce a single result
- Allows for highly accurate predictions by obtaining majority voting



#### Support Vector Machine (SVM)

- Well-known algorithm in machine learning
- High generalizability with a small amount of supervised data



#### **Gaussian Naive Bayes**

- Machine learning algorithm used for binary classification problems
- Assume that the characteristics of each class follow a Gaussian distribution
- Predicting new classes of data based on calculated probabilities by learning the mean and variance of each class from training data

### One of our approach

- Add "model selection"
  - we thought that this proposal would solve the "variety" problem required by Fujitsu.

Furthermore, we added the complexity of the model.
 Improving the interpretability of the models

Improvement 3: Explaining the Models and Calculating Complexity

### Simple = Better? → Rademacher Complexity

- Rademacher complexity is a measure that quantifies the complexity of a function set.
- Rademacher complexity can be measured for most predictive models such as Lasso, decision trees, and SVM.
- Our goal is to use Rademacher complexity to find models that are not complex. Simple = Better!

$$\hat{\mathfrak{R}}_S(\mathcal{G}) = \mathbb{E}_\sigma \left[ \sup_{g \in \mathcal{G}} rac{1}{n} \sum_{i=1}^n \sigma_i g(x_i) 
ight] \quad S = \{x_1, \cdots, x_n\} \in \mathcal{X}$$

	feature_1	feature_2	feature_3	feature_4
Name1	1	-1	1	-1
Name2	1	1	-1	1
Name3	1	-1	1	-1
Name4	-1	1	1	1

	feature_1	feature_2	feature_3	feature_4
Name1	1	-1	1	-1
Name2	1	1	-1	1
Name3	1	-1	1	-1
Name4	-1	1	1	1





New dataset with randomly re-labelled labels and original feature matrix

Set of Untrained Models

Make a Set of Trained Models




Let the random labels be the vector  $\sigma$ , the feature matrix of the model be the matrix X, and the number of rows be n.

	Random Label	Model_1	Model_2	Model_3	Model_4	$\sigma^{T} X$					
Г	1	1	1	1	-1	n		T			1
	1	1	1	1	1		Model_1	Model_2	Model_3	Model_4	
	1	1	-1	1	1		1	0.5	1	0.5	
	-1	-1	-1	-1	-1			1		1	4

n

Calculate the product of  $\sigma$  and X to determine the percentage of random labels that could be predicted.

	Model_1	Model_2	Model_3	Model_4	
σ <sup>(1)</sup>	1	0.5	1	0.5	
σ <sup>(2)</sup>	0.5	0.5	0.5	0	-
σ <sup>(3)</sup>	1	1	1	0.5	
O <sup>(4)</sup>	0.5	0	-0.5	1	

0.65

Rademacher

0.72

average

0.32

0.44

Generate a random label  $\sigma$  many times and average a series of calculations.

. . . .

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It is called the empirical Rademacher complexity.

 By measuring the ability to learn to random labels, the potential complexity of the model could have been measured.

• However, we felt the need to evaluate the model in more detail and prepared a new metric.

Our metric shows how well the model predicts as the randomness of the labels increases.

This allows us to examine how much more random the model becomes less predictable.



Random	Model_1	Model_2	Model_3	Model_4						
Label 1	1	1	1	-1	$\mathbf{y}_1^{T} \mathbf{X}_1$		Model_1	Model_2	Model_3	Model_4
1	1	1	1	1						
1	1	-1	1	1	n		1	0.5	1	0.5
-1	-1	-1	-1	-1		l				
	_									
Random Label	Model_1	Model_2	Model_3	Model_4						
1	1	1	1	-1	$\mathbf{y}_{2}^{T}\mathbf{X}_{2}$		Model_1	Model 2	Model 3	Model 4
1	1	1	1	1						
1	1	-1	1	1	n		1	0.5	1	0.5
-1	-1	-1	-1	-1				010		
				_						
Random Label	Model_1	Model_2	Model_3	Model_4	-					
1	1	1	1	-1	$y_3^T X_3$		Model_1	Model 2	Model 3	Model 4
1	1	1	1	1						
1	1	-1	1	1	n		1	0.5	1	0.5
-1	-1	-1	-1	-1						

For each label, the same process is used as when the Rademacher complexity is calculated.



The process of determining the label for each certain clutter is repeated many times and the average is taken.



The axis is blue in the middle, the labels are completely random.

This graph shows how much the model loses predictive accuracy for random labels as the randomness of the labels increases.

# **Complexity Summary**

• The potential complexity of the model was evaluated by looking at the model's follow-up to random labels by Rademacher complexity.

 Our new method is a more detailed analysis of Rademacher complexity, which will lead to the discovery of a simpler model by referring to the graph.

# "Hello, New Learning!" Current User Interface

### **New Interface with Our Algorithms**





**Back-End** 

Python Flask

**Front-End** 

**React JS** 

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## Conclusion

- Our version of Wide Learning considers many different models while making predictions
- The user can decide which model they prefer based on accuracy and complexity
- The user also has the option to select important feature combinations for the Lasso model
- The new user interface incorporates additional explanations to address feedback from the pilot survey
- A new survey has been sent out to evaluate the new workflow and interface we developed

# **Thank You!**